## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of

Tatsuya SHIMODA et al.

Group Art Unit: 2815

Application No.: 09/892,872

Examiner:

B. Baumeister

Filed: June 28, 2001

Docket No.: 109975

For:

FERROELECTRIC MEMORY AND METHOD OF MAKING THE SAME

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- X This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution (e.g., Quayle Action), but before payment of the Issue Fee. Attached is our Check No. 158765 in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please credit or debit Deposit Account No. 15-0461 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
  - Ø I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- $\boxtimes$ 2. English-language Abstracts of the non-English language references are attached hereto.

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3. A computer-generated English translation of the following Japanese references has been obtained from the website of the Japanese Patent Office ([http://www.jpo.go.jp]), and is attached, but has not been reviewed for accuracy. See References 1-9.

Respectfully submitted,

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Date: September 22, 2004

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE
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| Form PTO-1449<br>(REV. 8-83)      | )               | US Dept. of Commerce PATENT & TRADEMARK OFFICE                                |         | ATTY D<br>109975                  | OCKET NO.                   |           | APPLICATION NO.<br>09/892,872 |              |  |
|-----------------------------------|-----------------|---|---------|-----------------------------------|-----------------------------|-----------|-------------------------------|--------------|--|
| INFORMATION DISCLOSURE STATEMENT  |                 |   |         |                                   |                             |           |                               |              |  |
| (Use several sheets if necessary) |                 |   |         | APPLICANTS Tatsuya SHIMODA et al. |                             |           |                               |              |  |
| SEI SE                            |                 |   |         | FILING DATE<br>June 28, 2001      |                             |           | GROUP<br>2815                 |              |  |
| TE TRADEME                        |                 | U.S   | . PAT   | ENT DOCU                          | JMENTS                      |           | · ·                           |              |  |
| EXAMINER<br>INITIAL               |                 | DOCUMENT NUMBER   |         | DATE                              | NAME                        |           | CLASS (                       | SUB<br>CLASS |  |
|                                   |                 |   |         |                                   |                             |           |                               |              |  |
|                                   |                 | FORE  | IGN P.  | ATENT DO                          | CUMENTS                     |           |                               |              |  |
|                                   |                 | DOCUMENT NUMBER   |         | DATE                              | COUNT                       | RY        | CLASS                         | SUB<br>CLASS |  |
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|                                   | 5               | JP 5-275611A (w/Abstract & Translation)                                       | 10/     | /22/1993                          | Japan                       |           |                               |              |  |
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|                                   | 9               | JP 2001-28422A (w/Abstract & Translation)                                     | 1/3     | 0/2001                            | Japan                       |           |                               |              |  |
|                                   |                 | OTHER DOCUMENTS (In   | ncludii | ng Author,                        | Title, Date, Pertinent Page | es, etc.) |                               |              |  |
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|                                   |                 | f citation considered, whether or not chance and not considered. Include copy |         |                                   |                             |           | hrough citati                 | on if not in |  |

Date: September 22, 2004